



PRIVACY POLICY NOTICE DUPREE FINANCIAL GROUP, LLC

Our Commitment to Your Privacy: We are sensitive to the privacy concerns of our individual Clients. We have a long-standing policy to protect the confidentiality and security of information we collect about you. We are providing you with this notice to help you better understand why and how we collect certain personal information, the care with which we treat your information, and how we use such information.

Sources of Non-Public Information: In connection with forming and operating our private investments for our relationship with you, we collect and maintain non-public, personal information from the following sources:

Information we receive from you in conversations in person and over the telephone, in voicemails, through written correspondence, via email or in subscription agreements, from Client questionnaires or other forms;

Information about your transactions with us or others; and

Information captured on our website, including registration information and any information captured via cookies, if any.

This information includes, without limitation, your address, social security number, assets, investment experience, transaction history, income and wire transfer instructions.

Disclosure of Information: We do not knowingly disclose non-public personal information about you to any other person or entity except our personnel, third-party professional service providers who are provided access to such information in order to carry out our operations, or as otherwise required by law or regulation. These sharing practices are consistent with federal privacy and related laws, and in general, you may not limit the use of your personal information for these purposes under such laws. We note that the federal privacy laws only give you the right to limit the certain types of information sharing that we do not engage in (e.g., sharing with affiliates certain information relating to your transaction history or creditworthiness for their use in marketing to you, or sharing any personal information with non-affiliates for them to market to you).

Former Clients: We maintain non-public, personal information of our former Clients and apply the same policies that apply to current Clients.

Information Security: We consider the protection of sensitive information to be a sound business practice, and to that end, we employ physical, electronic and procedural safeguards to protect your non-public, personal information in our possession or under our control.

Further Information: We reserve the right to change our privacy policies and this Privacy Notice at any time. The examples contained within this notice are illustrations only and are not intended to be exclusive. This notice complies with the privacy provisions of Regulation S-P under the Gramm-Leach-Bliley Act. You may have additional rights under other foreign or other domestic laws that may apply to you.

If you have questions about this privacy policy, or if you wish to amend or rescind your written instructions below at any time, please contact Margaret Grider at (859) 233-0400 or via e-mail at mgrider@dupreefinancial.com.